DATE: March 20, 2012

I. RESEARCH PROPOSAL SUMMARY

Principal Researcher: Faye S. Taxman, PhD, and Michael S. Caudy, PhD

Title: Risk, Need, and Responsivity (RNR) Simulation Tool

Institution: George Mason University (GMU) – The Center for Advancing Correctional Excellence! (ACE!), Fairfax, VA

Description: The RNR Simulation Tool was developed with resources from the Center for Substance Abuse Treatment (SAMSHA), the Bureau of Justice Assistance, and the Public Welfare Foundation. The tool is intended to support decisions with respect to treatment and controls for justice involved respondents. The specific objectives of this study are to 1) use CSOSA AUTO Screener data to validate the RNR Simulation Tool; and 2) develop a RNR model specifically for CSOSA based on the currently used programs, services, and controls. Researchers will also provide CSOSA with recommendations regarding how to use a component of the RNR tool to assess the recidivism reduction capabilities of its current programs, services, and controls.

This study pertains to CSOSA only.

Subjects, Type of Data and Analysis: The researchers will use three data files: 1) AUTO Screener responses for entry cohorts from 2006 to 2009 – these data were used by the Urban Institute to validate CSOSA risk classification; 2) revocation status of offenders 36 months after intake – these data were used to develop CSOSA’s multi-cohort recidivism tracking report; 3) treatment placements, discharge statuses, programs, and supervision control. All data will be de-identified with no other information provided that could be used in combination to identify an offender.

The analyses will begin with a description of revocation base rates as well as the distribution of offenders across the risk and need continuum. Additional analyses will explore the bivariate and multivariate relationships among risk, needs, and revocations while controlling for treatment and control effects. In essence, these analyses are intended to inform CSOSA’s about which treatment and control options work best across the risk and needs continuum.
II. RECOMMENDATION

The RRC recommendation for this study:

☑ Support
☐ Support with Conditions
☐ Do Not Support

The RRC considers the proposed study to be non-agency research as defined in Research and Evaluation Policy Statement 1201. The RRC recommends to **support with conditions** this request as described in the researcher’s proposal.

The RRC recommends support for this study with conditions as outlined below:

1. The researchers prepare a codebook to be submitted to CSOSA/ORE outlining the requested de-identified data to be extracted from SMART.

2. CSOSA/ORE then will review this request and determine which data are available and allowable to be shared, and convey this to the researchers.

3. The researchers then will finalize the codebook and resubmit to CSOSA/ORE.

4. CSOSA/ORE will review this finalized codebook and prepare a sample data extract to be submitted to OGC for final review and approval.

III. SUPPORTING INFORMATION

- The proposed research shows no evidence of non-compliance with Agency policies pertaining to research.

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<th>I ACCEPT the RRC Recommendation</th>
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Nancy M. Ware, Director, Court Services and Offender Supervision Agency

Comments: